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I, JANENE PEISKER, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. 2002952317 for a patent by ARISTOCRAT TECHNOLOGIES AUSTRALIA PTY LTD as filed on 29 October 2002.

WITNESS my hand this  
Fourth day of November 2003

A handwritten signature in black ink, appearing to read "J. Peisker".

JANENE PEISKER  
TEAM LEADER EXAMINATION  
SUPPORT AND SALES

# AUSTRALIA

## Patents Act 1990

Aristocrat Technologies Australia Pty Ltd

### PROVISIONAL SPECIFICATION

*Invention Title:*

*Gaming machine feature .*

The invention is described in the following statement:

## *Gaming machine feature*

### **Field of the invention**

This invention relates to a gaming machine. More particularly, the invention relates to a gaming machine and to an improvement to game features played on such a gaming machine.

5

### **Background to the invention**

More and more gaming machines are offering games which have bonus features. These bonus game features are, normally, in the form of secondary features resulting from a trigger condition in a base game. The features often  
10 have higher payouts than the underlying base game. It is necessary to ensure that a theoretical return to player of a gaming machine incorporating such a feature does not exceed a certain amount which would render the gaming machine unprofitable to an operator of the gaming machine.

Legislation in various jurisdictions provides that a gaming machine must  
15 return a predetermined minimum amount, on average, to players. In the jurisdiction of New South Wales, Australia, the minimum return to player is set at 85% of the total amount wagered. Operators of the gaming machines are aware of this value and budget to receive their percentage as operating costs and as a source of revenue.

20 Were the gaming machines to exceed the minimum return to player, it could become unprofitable for operators of the gaming machines.

Further, certain of the game features offer the player various options where an apparent exercise of skill may be involved. This apparent exercise of skill may give the appearance of affecting the average return to player of the  
25 game. However, in reality, to ensure that the machines remain profitable for operators thereof, the exercise of skill by the player cannot affect the average return to player but may affect the outcome of the feature.

### **Summary of the invention**

30 According to a first aspect of the invention, there is provided a gaming machine having a display means and a game control means arranged to control images of symbols displayed on the display means, the game control means being arranged to play a game wherein at least one random event is

caused to be displayed on the display means and, if a predefined winning event occurs, the machine awards a prize wherein, in the playing of a game, if the player is awarded a first prize and a potential exists to win at least one further prize, the probability of successfully winning the at least one further prize is  
5 dependent on a value of the first prize.

In a preferred form of the invention, the game played on the gaming machine may be an underlying base game. When a predetermined trigger condition occurs in the base game, a feature game may be awarded. The feature game may be a bonus game, a second screen feature, or the like. In  
10 general, the invention applies to the feature game but need not do so.

In one embodiment of the invention, the probability of successfully winning any further prize may be determined based upon the average credits awarded to players of the game feature. More particularly, the probability of success may be determined so that the average credits after completion of the  
15 calculation of any probability of success is identical to credits won before the completion of the calculation.

This embodiment of the invention may apply where, if a player elects to continue with a feature, having already won a predetermined prize or prizes, the player risks losing at least a portion of the already won prize/s if any  
20 subsequent outcome, by continuing the feature, is unsuccessful.

In another embodiment of the invention, the feature may involve the addition of prizes to an already won prize or prizes to determine the probability of success of winning any further prizes.

This embodiment of the invention envisages that the player may be able  
25 to choose one of a plurality of pathways as an initial step in playing the feature and in each subsequent step of the feature as well, assuming the preceding step resulted in a successful outcome. Further, it is envisaged that, in this embodiment of the invention, no loss of any already won prize or prizes occurs.

Each pathway may involve a predetermined number of steps. Each  
30 pathway may have the same number of steps.

A numerical constant may be applied to each step in each pathway in determining the probability of successfully completing that step in the pathway if selected by the player. Corresponding steps in the pathways may have the same numerical constant applied to them.

In each step along the pathway, to determine if the player wins the prize associated with that step, ie obtains a successful outcome in that step, the probability is calculated using the numerical constant.

The numerical constant applied may be arbitrarily determined before any calculation is completed. Typically, the numerical constant selected for the corresponding steps of each pathway may fall within a predetermined range.

The constants may be selected so that it is of no advantage or disadvantage which path is selected by the player. The possible prizes gained may be dependent on player selection and the probability of successfully winning any further prizes may be determined so that, overall, the average prize won remains the same.

According to a second aspect of the invention, there is provided a game to be played on a game playing apparatus, the game playing apparatus having a display means and being controlled by a game control means arranged to control images displayed on the display means, wherein, in the playing of a game, if the player is awarded a first prize and a potential exists to win at least one further prize, the probability of successfully winning the at least one further prize is dependent on a value of the first prize.

A game playing apparatus includes one which does not require the wagering of a stake in order to play the game and further includes apparatus which is connectable to a network.

#### **Brief Description of the Drawings**

The invention is now described by way of example with reference to the accompanying diagrammatic drawings in which:-

Figure 1 shows a perspective view of a gaming machine, in accordance with the invention; and

Figure 2 shows a block diagram of a control circuit of the gaming machine.

#### **Detailed Description of the Drawings**

In Figure 1, reference numeral 10 generally designates a gaming machine, including a game, in accordance with the invention. The machine 10 includes a console 12 having a display means in the form of a video display unit 14 on which a game 16 is played, in use. The video display unit 14 may be implemented as a cathode ray screen device, a liquid crystal display, a plasma

screen, or the like. The game 16 is a spinning reel game which simulates the rotation of a number of spinning reels 18 and includes a bonus game feature. Typically the bonus game feature is awarded upon the occurrence of a trigger condition in the spinning reel game. A midtrim 20 of the machine 10 houses a bank 22 of buttons for enabling a player to play the game 16. The midtrim 20 also houses a credit input mechanism 24 including a coin input chute 24.1 and a bill collector 24.2.

The machine 10 includes a top box 26 on which artwork 28 is carried. The artwork 28 includes paytables, details of bonus awards, etc.

10 A coin tray 30 is mounted beneath the console 12 for cash payouts from the machine 10.

Referring now to Figure 2 of the drawings, a control means or control circuit 32 is illustrated. A program which implements the game and user interface is run on a processor 34 of the control circuit 32. The processor 34 forms part of a controller 36 that drives the screen of the video display unit 14 and that receives input signals from sensors 38. The sensors 38 include sensors associated with the bank 22 of buttons and touch sensors mounted in the screen of the video display unit 14. The controller 36 also receives input pulses from the mechanism 24 to determine whether or not a player has provided sufficient credit to commence playing. The mechanism 24 may, instead of the coin input chute 24.1 or the bill collector 24.2, or in addition thereto, be a credit card reader (not shown) or any other type of validation device.

Finally, the controller 36 drives a payout mechanism 40 which, for example, may be a coin hopper for feeding coins to the coin tray 30 to make a pay out to a player when the player wishes to redeem his or her credit.

In determining the result of game features where player choice is involved, it is necessary to ensure that the overall, average return to player of the gaming machine 10 on which the game feature is played remains at the chosen percentage. This also simplifies the mathematical calculations involved in determining returns to player as, where results may occur as a result of player choice, it would be necessary to take this into account in calculating the prizes awarded to the player and the return to player.

This invention relates to ensuring that the expected return to player of a gaming machine is unchanged where player choice is involved in playing a game feature. Two embodiments of the invention are described below.

In a first embodiment of the invention, a feature is awarded where, if a player continues playing the feature, a loss of at least a portion of the prize or prizes accumulated up to then in the feature may occur.

In playing the feature, it is assumed that at least one prize is awarded at random. This occurs in each playing of the feature and no player skill is involved. For ease of explanation, the already won prize or prizes are referred to below as the guaranteed prize.

After obtaining the guaranteed prize, the player is offered an opportunity of continuing to play the feature. The player thus has a prospect of adding to the guaranteed prize but with the risk of losing at least a portion of the guaranteed prize. Thus, if the player were to continue playing after the guaranteed prize had been won, the player risks losing, say, half of the guaranteed prize.

It is to be noted that, in each playing of the feature, the guaranteed prize won by a player need not always be the same amount of credits but varies with each playing of the feature. The value of the guaranteed prize is also determinative of the prospect of success should the player continue playing the feature. In other words, the more the player risks to gain an increased prize, the greater the probability of success to reflect the increased risk. The average return to player remains unaffected by the choice of the player but the specific prize changes with each playing of the feature.

In this embodiment, in each playing of the feature, a guaranteed prize is awarded at random. Any further prize offered may be known or unknown to the player and the player has the choice to try and win the further prize by risking a portion of the guaranteed prize already won.

The probability of successfully winning any additional prize, which may be a randomly determined prize or a fixed prize, is determined by the following equation where the probability of success is between 0 and 1:

$$P_s = \text{number of credits gambled} / (\text{number of credits gambled} + \text{new credits to be gained}).$$

Equation 1

The average credits after the calculation has been completed is identical to the credits won by the player before the calculation has been completed. Using the example where the player has to risk half the credits comprising the guaranteed prize to gain the additional prize and assuming the guaranteed



prize amounted to a total of 60 credits and the additional prize for which the player is playing is 10 credits,

$$P_S = 30/(30 + 10)$$

$$= 0.75$$

using Equation 1 above. Conversely, the probability of failure,  $P_F$ , where the player would only win half the 60 credits, ie. 30 credits is:

$$P_F = 1 - P_S$$

$$= 1 - 0.75$$

$$= 0.25.$$

It will therefore be noted that, overall, the average credits after the calculation =  $0.75 * 70 + 0.25 * 30$

$$= 52.5 + 7.5$$

$$= 60 \text{ credits.}$$

As a second example of this embodiment, assuming the guaranteed prize amounted to 70 credits and half of those credits were then risked to gain another 10 credits:

$$P_S = 35/(35 + 10)$$

$$= 0.77777.$$

Therefore,

$$P_F = 1 - 0.77777$$

$$= 0.22223$$

Therefore, in this example, overall, the average credits after the calculation =  $0.77777 * 80 + 0.22223 * 35$

$$= 62.22223 + 7.77777$$

$$= 70 \text{ credits.}$$

In a second embodiment of the invention, it is assumed that no guaranteed prize is awarded in the playing of the feature but that a player has a choice of various pathways. Each pathway is made up of a number of steps and each step has a prize associated with it.

- 5 It will be appreciated that, in this embodiment of the invention there is no guaranteed prize so that, in the taking of a first step, a player could fail. In other words, moving from a start position may result in failure. Failure at the first step may result in the paying of a consolation prize. This needs to be taken into account in assessing the probability of success. It will also be  
10 appreciated that, should the player fail at taking the first step, the feature is regarded as concluded.

- To allow a calculation of the probability of successfully taking any step to be determined, a numerical constant related to the average prize is associated with the taking of each step. As shown in Table 1 below, for the example  
15 indicated in that table, numerical constants of 9.9, 14.5 and 16.5 are associated with the taking of the first step, the second step and the third step respectively, in each pathway. It is to be noted that the corresponding step of each pathway has the same numerical constant associated with it. It is further to be noted that, for the first and second steps, the numerical constants need not be the  
20 same for each pathway provided they are below the value of the numerical constants for the third step which remains the same for each pathway.

Start	20	20	20	Finish
Start	15	15	15	Finish
Start	10	10	10	Finish
<b>Numerical constant (NC)</b>	<b>9.9</b>	<b>14.5</b>	<b>16.5</b>	

**Table 1**

25

- The numerical constants that are employed will only work on a limited amount of prize numbers and it is necessary to determine the numerical constant within a predetermined range. Further, it is to be noted that Table 1 is a simplified version of the table that will occur in a game feature and is shown  
30 in that format for ease of explanation. These numerical constants are, in fact,

the average number of credits that are determined to be the average number of credits which have been accumulated by the time the player reaches that point of the feature.

It is also assumed that after the player has chosen an initial pathway, the player is not compelled to continue on that pathway. In other words, in taking the first step on a first pathway which results in a successful outcome the player then has the option, in taking the next step, to continue on the same pathway or at least taking the corresponding step on to an adjacent pathway. Thus, for example, in Table 1 above, if the player starts on the first pathway with prizes of 20 credits for each step then, if the player is successful after the first step, the player has the option of taking the second step on the same pathway for a further prize of 20 credits or taking a second step on to the middle pathway for a prize of 15 credits. If the player had started on the middle pathway and had a successful first outcome, the player would have three options for taking the second step, ie on to the first pathway, on to the second pathway or on to the third pathway.

The chance of winning any prize is calculated using the following mathematical formulae:

$$(Prize\ 0 * P_{S0}) + Prize\ 1 * (P_{S1} + \dots + P_{Sn}) = NC_1;$$

$$(Prize\ 0 * P_{S0}) + Prize\ 1 * (P_{S1} + \dots + P_{Sn}) + Prize\ 2 * (P_{S2} + \dots + P_{Sn}) = NC_2$$

25

$$(Prize\ 0 * P_{S0}) + Prize\ 1 * (P_{S1} + \dots + P_{Sn}) + Prize\ 2 * (P_{S2} + \dots + P_{Sn}) + Prize\ 3 * (P_{S3} + \dots + P_{Sn}) + \dots + Prize\ n * (P_{Sn}) = NC_n.$$

Equation 2

30

and

$$P_{S0} + P_{S1} + P_{S2} + P_{S3} + \dots + P_{Sn} = 1$$

Equation 3

35 where

$P_{S1}$  to  $P_{Sn}$  are, respectively, the probability of success of winning the first to nth prizes;

$P_{S0}$  is the probability of failure in taking the first step;

Prize 0 is the consolation prize that may be awarded if the player fails in taking the first step. (It is to be noted that there is no guarantee that a consolation is payable where the player fails in taking the first step. It is at the discretion of the game designer as to whether or not to offer a consolation prize.); and  $NC_1$  to  $NC_n$  are, respectfully the numerical constants associated with the first to nth steps.

Using Table 1 above as an example, to determine if a player is going to be successful in taking a first step in the feature, a probability of success is calculated based on the first Numerical Constant in Table 1.

As a first example, the player is assumed to take a step along the first pathway for a prize of 20 credits. There are two possible outcomes which need to be taken into account being 20 credits for a successful outcome and 0 credits for an unsuccessful outcome, it being assumed in this example that no consolation prize is payable. The probability of each occurring can be calculated to lie between 0 and 1. Using Equations 2 and 3 above:

$$0 * P_{S0} + 20 * (P_{S1} + P_{S2} + P_{S3}) = 9.9; \text{ and}$$

$$P_{S0} + P_{S1} + P_{S2} + P_{S3} = 1.$$

Therefore, solving for  $(P_{S1} + P_{S2} + P_{S3})$ , which is the probability of successfully continuing with the feature, gives a value of 0.495 and a probability of failure,  $P_{S0}$ , of 0.505.

If, for the succeeding step, the player selects the middle prize of 15 credits, ie the second step along the middle pathway, there are two possible outcomes being a prize of 20 credits if the step results in an unsuccessful outcome and a prize of 35 credits if the prize results in a successful outcome. From the preceding calculation the probability of obtaining 0 credits is 0.505. Also, from Table 1 it is to be noted that the Numerical Constant associated with the second column is 14.5. Therefore, Equation 2 becomes:

$$0 * P_{S0} + 20 * P_{S1} + 35 * (P_{S2} + P_{S3}) = 14.5$$

and Equation 3 becomes

$$0.505 + P_{S1} + P_{S2} + P_{S3} = 1.$$

- 5 Solving Equations 2 and 3 for  $P_{S1}$ , the probability for successfully taking the first step but then not successfully continuing any further in the feature, and  $(P_{S2} + P_{S3})$ , the probability of successfully continuing beyond the second step in the feature, results in a probability of successfully continuing beyond the second step,  $(P_{S2} + P_{S3})$ , of 0.3067. This results in the probability of  
10 successfully taking the first step but then not successfully continuing any further in the feature of 0.1883.

Assuming that the player had been successful in the preceding steps, it is now assumed that, for the following step, it is assumed the player again chooses the top row, ie. the player attempts to win a further prize of 20 credits  
15 when taking the following step.

Applying equations 2 and 3 again gives the following:

$$0 \cdot 0.505 + 20 \cdot 0.1883 + 35 \cdot P_{S2} + 55 \cdot P_{S3} = 16.5$$

20 and

$$0.505 + 0.1883 + P_{S2} + P_{S3} = 1$$

- Solving the two equations gives  $P_{S2} = 0.2067$ . Hence, the probability of  
25 successfully completing the second step but then not successfully continuing any further in the feature is 0.2067. From this it can be determined that the probability of successfully completing the third step and, hence, the feature is:

$$P_{S3} = 1 - (0.505 + 0.1883 + 0.2067) = 0.1.$$

30

This means that the player has a probability of completing the feature of 0.1.

- Considering a second example, if the player starts, initially, at the third pathway in the hope of winning an initial prize of 10 credits there are, once  
35 again, two possible outcomes being a successful outcome resulting in a prize

of 10 credits or an unsuccessful outcome resulting in a prize of 0 credits (because no consolation prize is payable in this example).

Once again, applying these figures to Equations 2 and 3 results in a probability of successfully continuing with the feature,  $(P_{S1} + P_{S2} + P_{S3})$ , of 0.99 and a probability of failure,  $P_{S0}$ , of 0.01.

Assuming, once again, that the player then selects the middle prize of 15 credits in the middle row for the next step, there are two possible outcomes being a prize of 10 credits for an unsuccessful outcome and a prize of 25 credits for a successful outcome.

Once again it needs to be taken into account that some players may have been unsuccessful in taking the first step. Applying Equations 2 and 3 again leads to the following:

$$0 * P_{S0} + 10 * P_{S1} + 25 * (P_{S2} + P_{S3}) = 14.5$$

15

$$0.01 + P_{S1} + P_{S2} + P_{S3} = 1$$

Solving the above two equations for  $(P_{S2} + P_{S3})$ , the probability of successfully continuing with the feature is 0.3067 and the probability of successfully taking the first step in the feature but then not successfully continuing any further in the feature,  $P_{S1}$ , is 0.6833.

Assuming that the player now chooses the lowest pathway and had been successful in the previous steps, equations 2 and 3 become:

$$0 * 0.01 + 10 * 0.6833 + 25 * P_{S2} + 35 * P_{S3} = 16.5$$

and

$$0.01 + 0.6833 + P_{S2} + P_{S3} = 1$$

30

which gives  $P_{S2} = 0.1067$  and  $P_{S3} = 0.200$

To determine if the player is going to be successful, prior to commencement of the feature, the controller 36 selects a random number in the range from 1 to 100. The probabilities at each step are summed and compared with the selected number. If the selected number falls within the range, the player is unsuccessful and the feature concludes.

Using the first example of the second embodiment described above, if, for example, the number selected is 72, then, in the case of the first step, the range is 1 to 50.5. Because the selected number falls outside the range, the player is successful and the feature continues. In the case of the next step the  
5 range is 1 to 69.33 (1 to 50.5 + 50.6 to 18.83). The player is again successful and the feature continues. In the following step, the range is 1 to 90. Because the selected number falls in this range, the player is unsuccessful ,does not complete the third step and the feature concludes.

In both embodiments above, it will therefore be noted that in determining  
10 the probability of success of any subsequent step in a feature the value of an earlier prize is taken into account.

Accordingly, although pseudo skill is involved in that the player is offered the possibility of making selections, the controller 36 uses Equation 1 or Equations 2 and 3 in ensuring that the expected return to player remains the  
15 same independently of any selection made by the player. As a result, it is an advantage of the invention that the expected return to player of the gaming machine is not affected by any player choice.

It will be appreciated by persons skilled in the art that numerous variations and/or modifications may be made to the invention as shown in the  
20 specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects as illustrative and not restrictive.

Dated this twenty-ninth day of October 2002

Aristocrat Technologies Australia Pty  
Ltd

Patent Attorneys for the Applicant:

F B RICE & CO

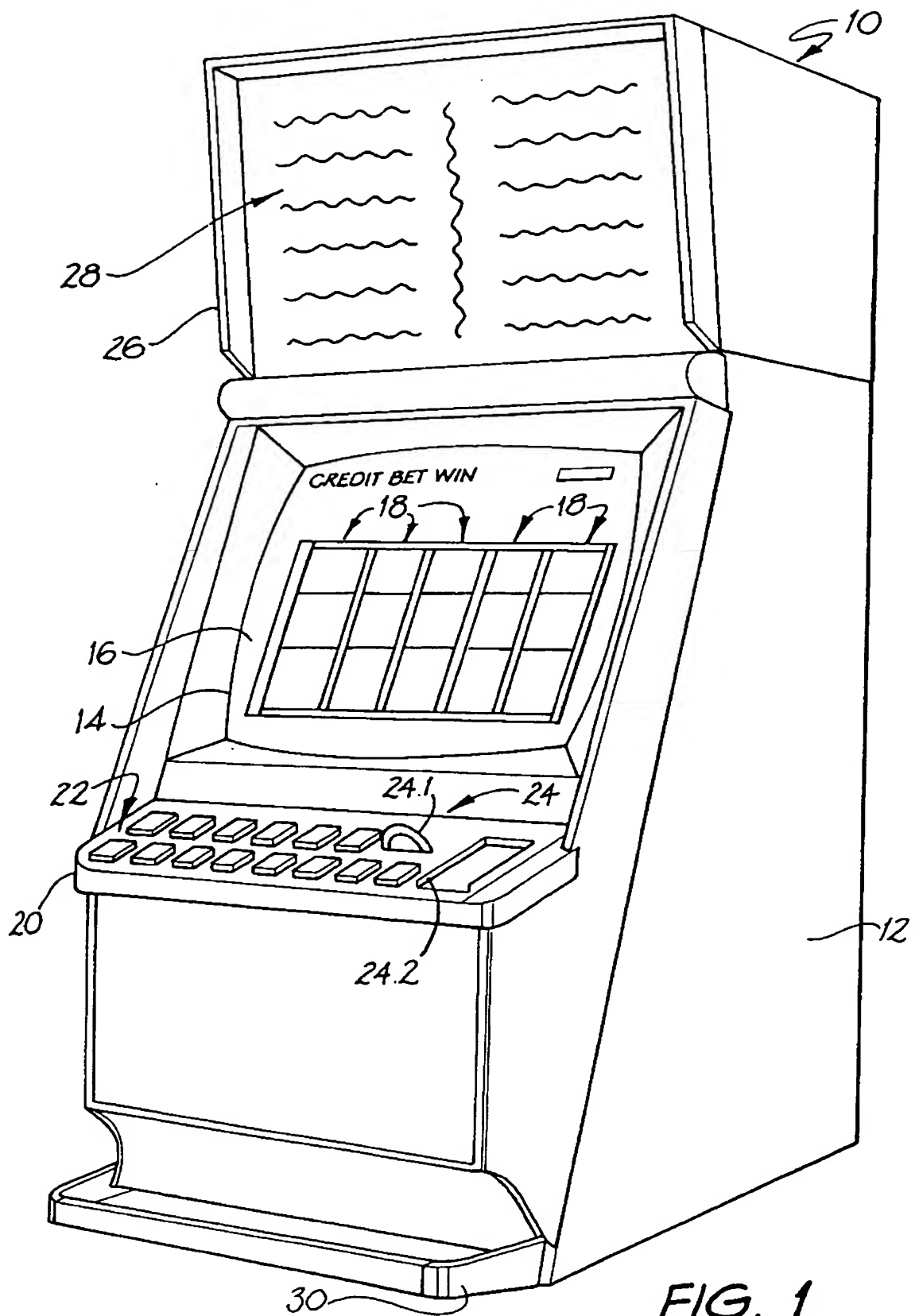


FIG. 1



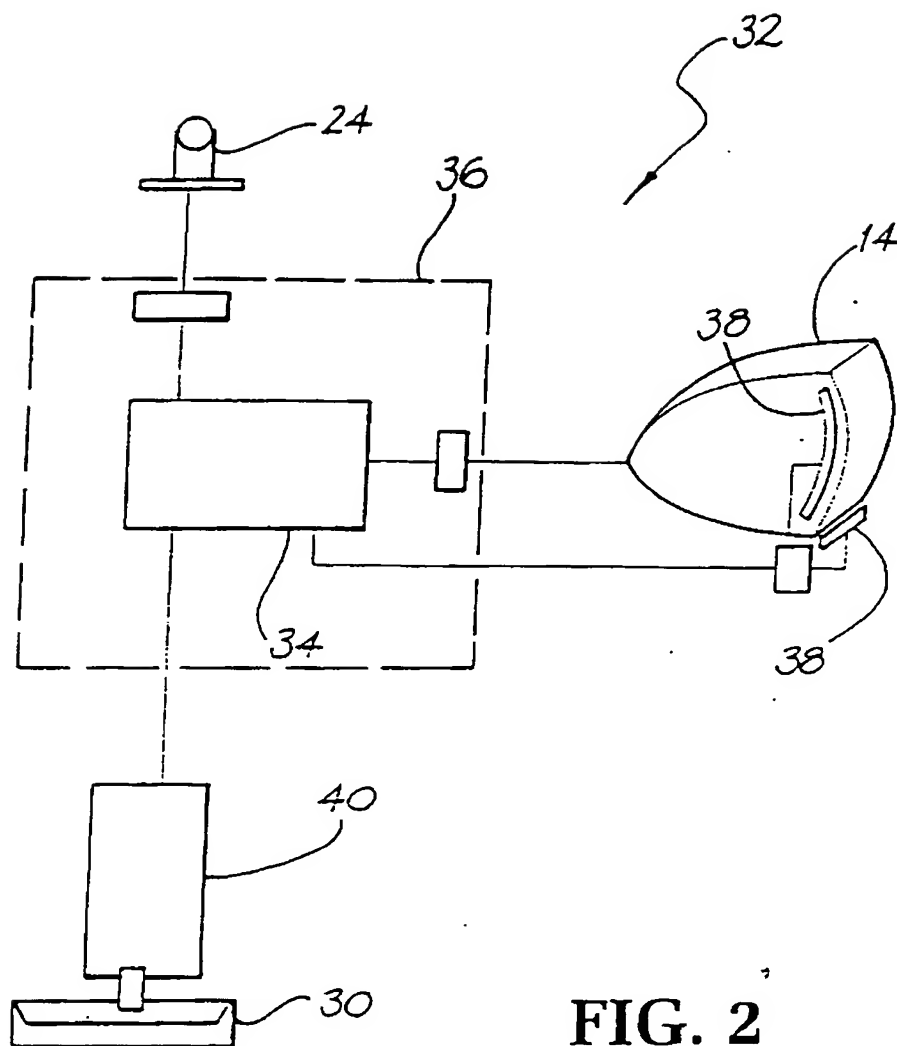


FIG. 2